



JC10 PCT/PTO 03 JAN 2002  
09/868262 PATENT  
Customer No. 22,852  
Attorney Docket No. 08513.7034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Heeks et al. ) Group Art Unit: unknown  
)  
Serial No.: 09/868,262 ) Examiner: unknown  
)  
Filed: June 15, 2001 )  
)  
For: ORGANIC LIGHT-EMITTING )  
DEVICES )

Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL LETTER

Enclosed are the following:

- ☒ Petition for Two-Month Extension of Time
- ☒ Copy of Notification of Missing Requirements (Form PCT/DO/EO/905)
- ☒ Added Inventor's Statement as Required by 37 CFR 1.497
- ☒ Assignment Coversheet
- ☒ Assignments (2)
- ☒ Declaration and Power of Attorney (2)

Enclosed is a check for \$570.00 for the following: \$400.00 for the extension of time, \$130.00 surcharge and \$40.00 assignment fee. Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: January 2, 2002

By: Therese A. Hendricks  
Therese A. Hendricks  
Reg. No. 30,389

01/07/2002 UEDUVIJE 00000141 09868262

01 FC:154

130.00 OP

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FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000



U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/868262	HEEKS S	C1043/7034
INTERNATIONAL APPLICATION NO.		
PCT/GB99/04144		
I.A. FILING DATE		PRIORITY DATE
14 DEC 99		17 DEC 98

DATE MAILED: 05 SEP 2001

WOLF GREENFIELD & SACKS  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210 2211

JAN 03 2002  
TRADEMARK

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
  - ☒ Copy of the international application.
  - ☐ Oath or Declaration of inventors(s).
  - ☐ Copy of Article 19 amendments.
  - ☒ Priority Document.
  - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
  - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
  - ☐ Indication of Small Entity Status.
  - ☐ Translation of the international application into English.
  - ☐ Translation of Article 19 amendments into English.
  - ☐ Other:
2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee.
  - ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

Winston M. Alvarado

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-6421



**COPY**

8513.7034

TAH/LMO

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Heeks et al.

Serial No.: 09/868,262

Group Art Unit: unknown

Filed: June 15, 2001

Examiner: unknown

For: ORGANIC LIGHT-EMITTING DEVICES

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Dated January 3, 2002

Docket No.: 08513.7034

*DXS 1-3-02 B*  
(Due Date: 1/5/02)

COPY

FINNEGAN, HENDERSON, FARABOW  
GARRETT & DUNNER, L.L.P.

PTO  
ACCOUNT

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1300 I Street, N.W.  
Washington, D.C. 20005-3315

CRESTAR BANK N.A.  
WASHINGTON, D.C. 20005

PAY FIVE HUNDRED SEVENTY AND 00/100 DOLLARS

TO  
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COMMISSIONER OF PATENTS AND TRADEMARKS

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Thomas H. Belk

VOID AFTER 48 HOURS

FINNEGAN, HENDERSON, FARABOW  
GARRETT & DUNNER, L.L.P.

DETACH AND RETAIN THIS STATEMENT  
THE ATTACHED CHECKS IS IN PAYMENT OF ITEMS DESCRIBED BELOW.  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

VENDOR I.D.#/GL#

INVOICE DATE

INVOICE #

INVOICE AMOUNT

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